

## Annex 5 to the GTC of OeKB CSD

### Issuer<sup>1</sup>: Provision Customer Master Data, Modification Customer Master Data

- We provide the **Customer Master Data** as follows:
  
- We request the **modification of the Customer Master Data** as indicated below:

#### 1 General Information

1.1. Name of institution incl. legal form (e.g. plc, limited company etc.):	
1.2. Registered office (Address):	
1.3. Registered office (City, ZIP-Code):	
1.4. Country:	
1.5. VAT-ID no.:	
1.6. Company Register Number:	
1.7. Legal Entity Identifier (LEI):	
1.8. Ultimate Beneficial Owner <sup>2</sup> :	
1.9. Purpose of the business activity:	

<sup>1</sup> For all customers who do not want to hold a cash account or a securities account at OeKB CSD

<sup>2</sup> Ultimate beneficial owner(s) are natural person(s) holding directly or indirectly 25% or more of a company. In case of a legal person holding 25% or more, the beneficial owner of that company is also to mention. In case of a legal person holding 25% or more of the mother company, also the beneficial ownership information of this entity is required, and so on. Please attach a chart showing the ownership structure if necessary. In the case that the ultimate beneficial owner was a county, state or any other organization where no natural person as owner can be found than the leading persons of this company that is owned by a county, state etc. has to be registered.

## 2 The customer is a bank

Yes  No

## 3 The customer is a corporation

Yes  No

**Information about the size of the corporation (joint-stock company or Ltd.) according to your last financial statement (see Art. 221 of UGB/Austrian Companies Act)**

### 3.1. Total assets amount of balance sheet:

up to 20m EUR  more than 20m EUR

### 3.2. Annual average number of employees:

up to 50 employees  more than 50 employees

### 3.3. Registered office of the corporation is identical with the local of the central administration

yes  no

If not, please provide address of central administration:

<b>Address</b>	
<b>City, ZIP-Code</b>	
<b>Country</b>	

## 4 Contact persons

### 4.1. Preferred correspondence language:

German  English

### 4.2. Contact:

First name and surname: \_\_\_\_\_  
Phone: \_\_\_\_\_  
E-mail: \_\_\_\_\_

## 5 Attachments

- An official excerpt from the company register (not older than 6 weeks, only applicable to non-Austrian applicants: certified and translated in English or German by a public notary)

*OeKB CSD GmbH reserves the right to request further documents.*

The → **General Terms and Conditions** and the → **Privacy Policy** of OeKB CSD in the current version are accepted.

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Place, Date

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Place, Date

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Authorized signature

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