

Function			A2A Interfaces			U2A Interfaces/Online Clients	
			SWIFT ISO 15022	SWIFT ISO 20022	Other	via Login Portal	Other
Securities Account Administration, Settlement	Communication with Securities Account Holders	Intra Cross External Account Statements	yes (ICP), see Annex 18	yes (DCP), see T2S documentation provided by Eurosystem	Inter-T2S-CSD Interface (T2S CSDs), see T2S documentation provided by Eurosystem contingency: ZIP file via Filebox	Settlement Client, see Annex 17	T2S Client (DCP), see T2S documentation provided by Eurosystem
		Instructions, Account Statements	yes	no	-	-	-
Cash Account Administration		Account Balance	no	no	-	Account Information	-
Asset Servicing (Issuer CSD securities)		General Meetings	yes, for shares not subject to SRD II, see Annex 39	yes, for shares subject to SRD II, see Annex 39	SWIFT ISO 20022 as e-mail attachment	Asset Servicing Client (see Annex 22) for shares subject to SRD II only as of Q.1 2021	-
		Disclosure Requests	no				
		other Corporate Actions	yes, see Annex 39	no	PDF confirmation as e-mail attachment	Asset Servicing Client, see Annex 22	-
Notary Services & Safekeeping	Communication with Issuers and their Agents	Physical Change Global Certificate	yes, see Annex 18	no	-	Settlement Client, see Annex 17	-
		Information to OeKB CSD on General Meetings, Disclosure Requests	no	yes, see Annex 40 Manual for Issuers	-	-	-
		Information to OeKB CSD on other Corporate Actions*	yes, see Annex 40	no	-	-	-
User Administration	Communication with Administrators	Administration of Users for Login Portal	no	no	-	User Administration, see Annex 38	-
Overall information	Communication with all Clients	Mailings, Reports, Securities Information, Projects, User Committee, other Documents	no	no	-	My OeKB CSD	-

Acronyms:

ICP = Indirectly Connected Participant
DCP = Directly Connected Participant
A2A = Application-to-Application
U2A = User-to-Application
T2S = TARGET2-Securities

Annex 17 User Manual Settlement Client
Annex 18 User Manual Settlement SWIFT ISO 15022
Annex 22 User Manual Asset Servicing Client
Annex 38 Conditions for the Use of Login Portal Clients
Annex 39 SWIFT Manual for Income and Corporate Actions
Annex 40 SWIFT Manual for Issuers