

SRD / Overview

3.0 / Public / November 2020

CENTRAL
SECURITIES
DEPOSITORY

CeKB[®]
CSD GmbH

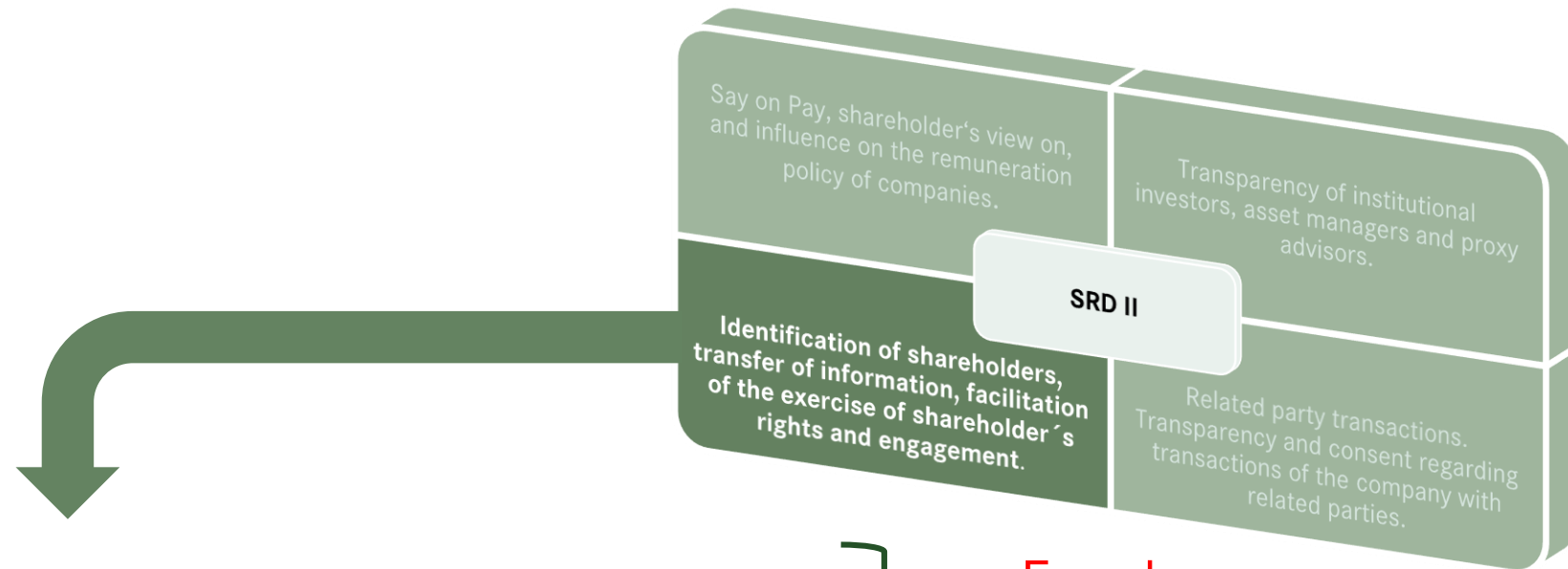
Content

- Legal Framework
- Scope Items relevant for OeKB CSD as Issuer CSD
- Stakeholders affected by those Scope Items
- Appointment of the responsible Requester
- Information on General Meetings (IGM)
- Shareholder Identification (SI)

Legal Framework

- Shareholders Rights Directive II (EU) 2017/828
- Implementing Regulation (EU) 2018/1212
- National (Austrian) Implementation

Scope Items relevant for OeKB CSD as Issuer CSD

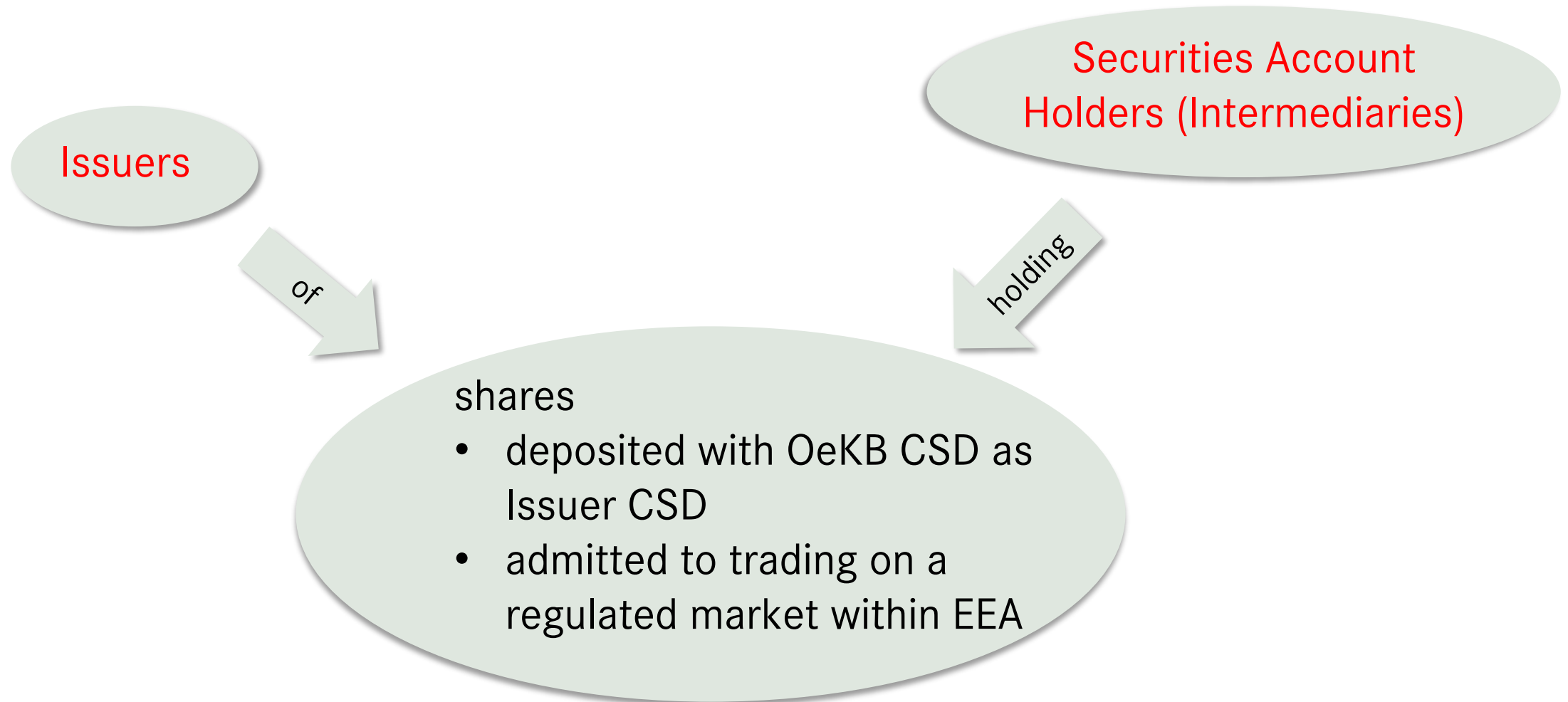


- a. Information on General Meetings (IGM)
- b. Shareholder Identification (SI)
"Who owns my shares?"
- c. Information on other Corporate Actions (ICA)

For shares

- deposited with OeKB CSD as Issuer CSD
- admitted to trading on a regulated market within EEA

Stakeholders affected by those Scope Items

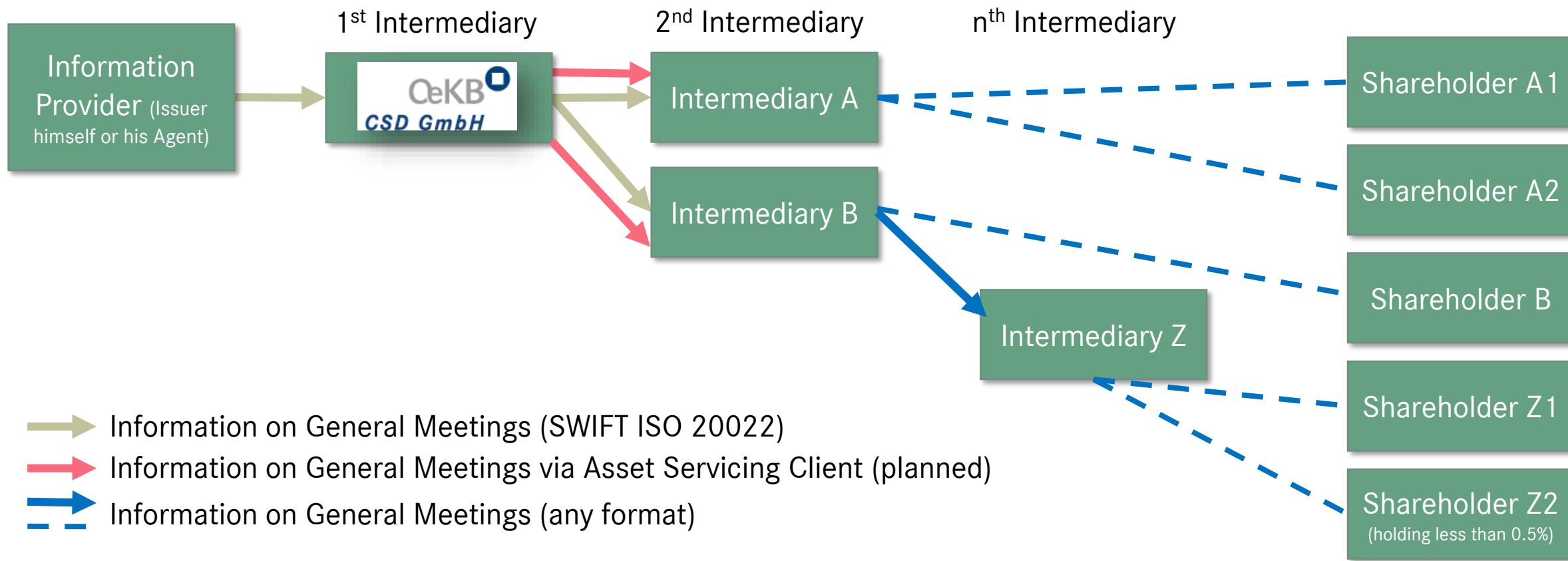


Appointment of the responsible Requester

- In the course of June 2020 issuers were invited to submit to OeKB CSD the
 - name
 - address
 - BICof the Requesters that have been appointed by the issuer as being responsible for
 - transmitting to OeKB CSD Information on General Meetings (IGM)
 - and / or transmitting to OeKB CSD Disclosure Requests (SI)
- The Requester may be
 - either the issuer himself or
 - or any agent appointed by the issuer
- Any Requester needs to be capable to correctly create and send
 - messages in ISO 20022 format
 - via the SWIFT network

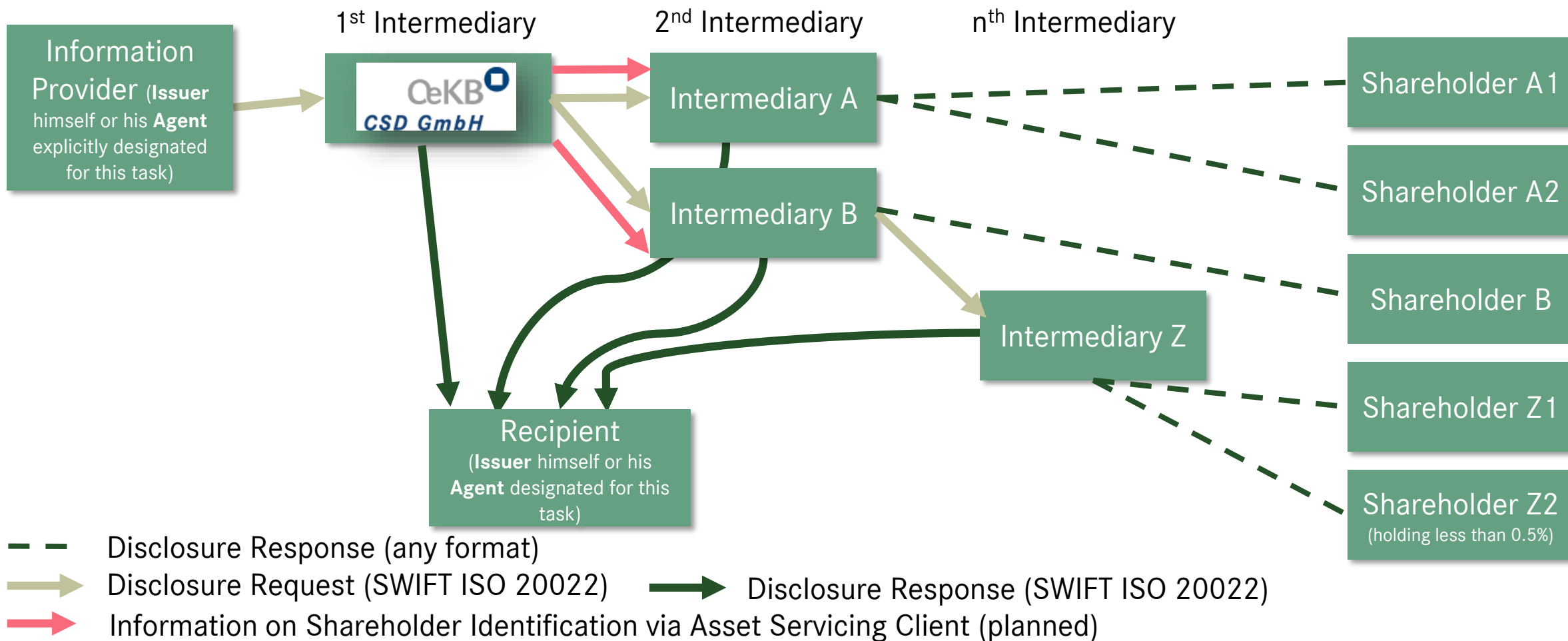
Information on General Meetings (IGM)

=> for more details see slides „SRD / General Meetings (GM)“



Shareholder Identification (SI)

=> for more details see slides „SRD / Shareholder Identification (SI)“



Contact

Any questions? Please contact us!



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