

Annex 5 to the GTC of OeKB CSD

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Company Register No. FN 428085m,
Commercial Court Vienna
Registered Office: 1010 Vienna,
Strauchgasse 1-3, Austria
VAT-ID No. ATU69303158,
Data Processing Register No. 4014540
BIC OCSDATWWXXX

Account Holder: Provision Customer Master Data, Modification Customer Master Data

We provide the **Customer Master Data** as follows:

We request the **modification of the Customer Master Data** as indicated below:

Information: Please fill in at least section 1.1. and section 1.5.

1 General Information

1.1. Name of institution incl. legal form (e.g. plc, limited company etc.):	
1.2. Registered office (Address):	
1.3. Registered office (City, ZIP-Code):	
1.4. Country:	
1.5. BIC-Code:	
1.6. VAT-ID no.:	
1.7. Company Register Number:	
1.8. Legal Entity Identifier (LEI):	
1.9. Ultimate Beneficial Owner¹:	
1.10. Purpose of the business activity:	

¹ Ultimate beneficial owner(s) are natural person(s) holding directly or indirectly 25% or more of a company. In case of a legal person holding 25% or more, the beneficial owner of that company is also to mention. In case of a legal person holding 25% or more of the mother company, also the beneficial ownership information of this entity is required, and so on. Please attach a chart showing the ownership structure if necessary. In the case that the ultimate beneficial owner was a county, state or any other organization where no natural person as owner can be found than the leading persons of this company that is owned by a county, state etc. has to be registered.

2 The customer is a bank

Yes No

3 The customer is a corporation

Yes No

Information about the size of the corporation (joint-stock company or Ltd.) according to your last financial statement (see Art. 221 of UGB/Austrian Companies Act)

3.1. Total assets amount of balance sheet:

up to 4.84m EUR more than 4.84 up to 19.25m EUR more than 19.25m EUR

3.2. Revenue:

up to 9.68m EUR more than 9.68 up to 38.5m EUR more than 38.5m EUR

3.3. Annual average number of employees:

up to 50 more than 50 up to 250 more than 250

3.4. Registered office of the corporation is identical with the local of the central administration

yes no

If not, please provide address of central administration:

Address

City, ZIP-Code

Country

5 Contact persons

5.1. Preferred correspondence language:

German

English

5.2. General:

First name and surname:

Phone:

E-mail:

5.3. Settlement:

First name and surname:

Phone:

E-mail:

5.4. Asset Servicing:

First name and surname:

Phone:

E-mail:

5.5. Tax:

First name and surname:

Phone:

E-mail:

5.6. Cash Account:

First name and surname:

Phone:

E-mail:

5.7. Technical (IT):

First name and surname:

Phone:

E-mail:

6 Attachments

- An official excerpt from the company register (not older than 6 weeks, only applicable to non-Austrian applicants: certified and translated in English or German by a public notary)
- Latest version of the articles of incorporation (certified and translated in English or German by a public notary)
- Latest annual report
- Copy of photo ID of the members of the board or the managing director(s) (only applicable to non-banks)
- US-Tax/FATCA form (W-8IMY for banks, W-8BEN-E for non-banks or for own assets of banks)
- → **Certificate of Capital Yields Tax Exemption**
- → **AML-Questionnaire** (only applicable to banks)
- → **Registration OeKB Group Login Portal for OeKB CSD Services**

OeKB CSD GmbH reserves the right to request further documents.

The → **General Terms and Conditions** and the → **Privacy Policy** of OeKB CSD in the current version are accepted.

Place, Date

Place, Date

Authorized signature

Authorized signature