

Information required for the Safekeeping and Administration of the Securities Categories

With regard to securities which OeKB CSD keeps and administers itself as Issuer CSD, the Issuer is obliged to give OeKB CSD all information required for the safekeeping and administration of the securities categories, for example such as follows:

	Type of information required (e.g.)		Formats of Communication for providing information required for the safekeeping and administration of the securities category to OeKB CSD			
			SWIFT ISO 20022	SWIFT ISO 15022	proprietary FTP format	as backup: attachment with E-mail to asset.servicing@oekb-csd.at
Shares, Participation Capital	Income Payment		no	yes, see Annex 40 SWIFT Manual for Issuers	no	yes
	Corporate Actions	General Meetings	yes, see Annex 40 SWIFT Manual for Issuers	no	no	yes
		Other Corporate Actions	no	yes, see Annex 40 SWIFT Manual for Issuers	no	yes
		Disclosure Requests	yes, see Annex 40 SWIFT Manual for Issuers	no	no	no
Bonds	Floating Rate Announcement		no	no	no	yes
	Redemption Price		no	no	no	yes
Investment Funds	Dividend		no	no	payment data report to OeKB AG is being forwarded to OeKB CSD	no
	Liquidation Payment		no	no	corporate actions report to OeKB AG is being forwarded to OeKB CSD	yes
Warrants, Certificates	Knock out		no	no	no	yes
	Redemption		no	no	yes, see "Excel format description"	yes

For information on the tax classification of the security see also:

<https://www.oekb-csd.at/en/dam/jcr:d5490e08-98c5-4042-8eaf-c4c99cc1e9f1/Declaration-on-the-tax-classification-of-the-planned-issued.pdf>