

Annex 20 to the GTC of OeKB CSD

Custody Notifications and other Information

OeKB CSD will forward information pursuant to section 6.1.1 of the GTC of OeKB CSD as specified below:

	Type of information		Formats of Communication for forwarding information pursuant to section 6.1.1. of the GTC of OeKB CSD:			
			SWIFT ISO 20022 (see Annex 18 SWIFT Manual)	SWIFT ISO 15022 (see Annex 18 SWIFT Manual)	Asset Servicing Client	
Shares, Participation Capital	Income Payment		no	yes	yes	
	Corporate Actions	General Meetings	Issuer CSD ¹ , subject to SRD II	yes (via SWIFT Network and as attachment to e-mail ³)	no	as of Q1 2023
			Issuer CSD ¹ , not subject to SRD II	no	yes	yes
			Investor CSD ² , held with Euroclear Nederland or SIX SIS	yes (via SWIFT Network and as attachment to e-mail ³)	no	as of Q1 2023
			Investor CSD ² , held with Clearstream Banking Frankfurt	no	yes	yes
		Other Corporate Actions		no	yes (via SWIFT Network and as PDF confirmation e-mail attachment)	yes
		Disclosure Requests	MAND (SRD II)	yes (via SWIFT Network and as attachment to e-mail ³)	no	as of Q1 2023
	VOLU		no	yes	yes	
Bonds	Coupon Payment		no	yes	yes	
	Redemption		no	yes	yes	
Investment Funds	Dividend		no	yes	yes	
	Liquidation Payment		no	yes	yes	
Warrants, Certificates	Knock out		no	yes	yes	
	Redemption		no	yes	yes	

¹ as Issuer CSD OeKB CSD keeps and administers securities itself

² as Investor CSD OeKB CSD has securities kept and administered with a custodian

³ to subscribe for this e-mail service, please fill out the "Form Subscription 20022 e-mail service"