



OeKB CSD Custody SWIFT Manual for Corporate Action

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**CENTRAL
SECURITIES
DEPOSITORY**

OeKB 
CSD GmbH

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1 Introduction

This document describes the content of SWIFT messages types MT 564 and MT 566 used for Corporate Actions.

1.1 Event List

Code	Short description	Long description
BIDS	Repurchase Offer/Issuer Bid/Reverse Rights	Offer to existing shareholders by the issuing company to repurchase equity or other securities convertible into equity. The objective of the offer is to reduce the number of outstanding equities.
BONU	Bonus Issue/Capitalisation Issue	Bonus, scrip or capitalisation issue. Security holders receive additional assets free of payment from the issuer, in proportion to their holding.
BPUT	Put Redemption	Early redemption of a security at the election of the holder subject to the terms and condition of the issue with no reduction in nominal value.
CHAN	Change	Information regarding a change further described in the corporate action details.
CONS	Consent	Procedure that aims to obtain consent of holder to a proposal by the issuer or a third party without convening a meeting. For example, consent to change the terms of a bond.
CONV	Conversion	Conversion of securities (generally convertible bonds or preferred shares) into another form of securities (usually common shares) at a pre-stated price/ratio.
DFLT	Bond Default	Failure by the company to perform obligations defined as default events under the bond agreement and that have not been remedied.
DRIP	Dividend Reinvestment	Dividend payment where holders can keep cash or have the cash reinvested in the market by the issuer into additional shares in the issuing company. To be distinguished from DVOP as the company invests the dividend in the market rather than creating new share capital in exchange for the dividend.

Code	Short description	Long description
DVOP	Dividend Option	Distribution of a dividend to shareholders with a choice of benefit to receive. Shareholders may choose to receive shares or cash. To be distinguished from DRIP as the company creates new share capital in exchange for the dividend rather than investing the dividend in the market.
DVSE	Stock Dividend	Dividend paid to shareholders in the form of equities of the issuing corporation.
EXOF	Exchange	Exchange of holdings for other securities and/or cash. The exchange can be either mandatory or voluntary involving the exchange of outstanding securities for different securities and/or cash. For example "exchange offer", "capital reorganisation" or "funds separation".
EXRI	Call on Intermediate Securities	Call or exercise on nil paid securities or intermediate securities resulting from an intermediate securities distribution (RHDl). This code is used for the second event, when an intermediate securities' issue (rights/coupons) is composed of two events, the first event being the distribution of intermediate securities.
EXTM	Maturity Extension	As stipulated in a bond's Terms and Conditions, the issuer or the bond-holder may prolong the maturity date of a bond. After extension, the security may differ from original issue (new rate or maturity date). May be subject to bondholder's approval.
EXWA (VOLU/ CHOS)	Warrant Exercise	Option offered to holders to buy (call warrant) or to sell (put warrant) a specific amount of stock, cash, or commodity, at a predetermined price, during a predetermined period of time (which usually corresponds to the life of the issue).
LIQU	Liquidation Dividend/Liquidation Payment	A distribution of cash, assets or both. Debt may be paid in order of priority based on preferred claims to assets specified by the security.
MEET	Annual General Meeting	Annual general meeting.
MRGR	Merger	Exchange of outstanding securities, initiated by the issuer which may include options, as the result of two or more companies combining assets, that is, an external, third party company. Cash payments may accompany share exchange.
OMET	Ordinary General Meeting	Ordinary general meeting.

Code	Short description	Long description
OTHR	Other event	Other event, use only when no other event type applies, for example, a new event type.
PARI	Pari-Passu	Occurs when securities with different characteristics, for example, shares with different entitlements to dividend or voting rights, become identical in all respects, for example, pari-passu or assimilation. May be scheduled in advance, for example, shares resulting from a bonus may become fungible after a pre-set period of time, or may result from outside events, for example, merger, reorganisation, issue of supplementary tranches, etc.
PINK	Pay in Kind	Interest payment, in any kind except cash, distributed to holders of an interest bearing asset.
PRIO	Priority Issue	Form of open or public offer where, due to a limited amount of securities available, priority is given to existing shareholders.
REDO	Redenomination	Event by which the unit (currency and/or nominal) of a security is restated, for example, nominal/par value of security in a national currency is restated in another currency.
RHDI	Intermediate Securities Distribution	The distribution of intermediate securities or privilege that gives the holder the right to take part in a future event.
SOFF	Spin-Off	A distribution of subsidiary stock to the shareholders of the parent company without a surrender of shares. Spin-off represents a form of divestiture usually resulting in an independent company or in an existing company. For example, demerger, distribution, unbundling.
SPLF	Stock Split/Change in Nominal Value/Subdivision	Increase in a corporation's number of outstanding equities without any change in the shareholder's equity or the aggregate market value at the time of the split. Equity price and nominal value are reduced accordingly
SPLR	Reverse Stock Split/Change in Nominal Value	Decrease in a company's number of outstanding equities without any change in the shareholder's equity or the aggregate market value at the time of the split. Equity price and nominal value are increased accordingly.
TEND	Tender/Acquisition/Takeover/Purchase Offer/Buyback	An offer made to shareholders, normally by a third party, requesting them to sell (tender) or exchange their equities.

Code	Short description	Long description
WRTH	Worthless	Booking out of valueless securities.
XMET	Extraordinary or Special General Meeting	Extraordinary or special general meeting.

2 Corporate Action Notification

This message is used to provide an account owner with the details of a corporate action event along with the possible elections or choices available to the account owner. It can be initially sent as a preliminary advice and subsequently replaced by another MT 564 with complete or confirmed information.

2.1 Description of the Corporate Action Notification (SWIFT MT 564)

M/O	Tag	Field Name	Qualifier	Option	Format	Codes	Description
Mandatory Sequence A General Information							
M	16R	Start of Block			16c	GENL	
M	20C	Corporate Action Reference	CORP		:4!c//16x		OeKB CSD's unambiguous reference of the corporate action.
M	20C	Sender's Message Reference	SEME		:4!c//16x		OeKB CSD's unambiguous reference of the message.
M	23G	Function of the Message			4!c[/4!c]	NEWM	New message
						REPE	Message reporting an eligible balance that may or may not include an entitlement calculation.
						REPL	Message replacing a previously sent message.
						RMDR	Message sent as a reminder.
						WITH	Message sent to withdraw a previously sent notification due to the withdrawal of the corporate event.
						CANC	Message sent to cancel a previously sent notification

M/O	Tag	Field Name	Qualifier	Option	Format	Codes	Description
M	22F	Corporate Action Event Indicator	CAEV		:4!c/[8c]/4!c	see Fehler! Verweisquelle konnte nicht gefunden werden.	Definition of the corporate event
M	22F	Mandatory/Voluntary Indicator	CAMV		:4!c/[8c]/4!c	MAND CHOS VOLU	Mandatory corporate action without options Mandatory corporate action with options Corporate action with election
M	98C	Preparation Date/Time	PREP		4!c//8!n6!n		Date/time at which the message was prepared.
M	25D	Processing Status	PROC		:4!c/[8c]/4!c	COMP ENTL PREC PREU	Complete details of a confirmed corporate event The message contains entitlements. Confirmed preliminary announcement. Unconfirmed preliminary announcement.
Repetitive Optional Subsequence A1 Linkages							
M	16R	Start of Block			16c	LINK	
M	20C	Previous Reference	PREV		:4!c//16x		Sender's reference of the previously sent message
M	16S	End of Block			16c	LINK	
End of Subsequence A1 Linkages							
M	16S	End of Block			16c	GENL	
End of Sequence A General Information							
Mandatory Sequence B Underlying Securities							
M	16R	Start of Block			16c	USECU	

M/O	Tag	Field Name	Qualifier	Option	Format	Codes	Description
M	35B	Identification of the Underlying Securities			[!SIN1!e12!c] [4*35x]		'ISIN' followed by <Blank> and ISIN Code. Description of the financial instrument.
Repetitive Optional Subsequence B1 Financial Instrument Attributes							
M	16R	Start of Block			16c	FIA	
O	22F	Method of Interest Computation	MICO		:4!c/[8c]/4!c	According to SWIFT Standard Codes	Specifies the computation method of (accrued) interest of the financial instrument.
O	11A	Currency of Denomination	DENO		:4!c//3!a		Currency in which a financial instrument is currently denominated.
M	16S	End of Block			16c	FIA	
End of Subsequence B1 Financial Instrument Attributes							
Repetitive Optional Subsequence B2 Account Information							
M	16R	Start of Block			16c	ACCTINFO	
M	97A	Safekeeping Account	SAFE		:4!c//35x		Account where financial instruments are maintained.
O	93B	Eligible Balance	ELIG		:4!c//4!c/[N]15d	FAMT	Total Eligible for Corporate Action Balance expressed as face value
						UNIT	Total Eligible for Corporate Action Balance expressed as number of shares.
O	93B	Settled Position	SETT		:4!c//4!c/[N]15d	FAMT	Settlement Position Balance expressed as face value
						UNIT	Settlement Position Balance expressed as number of shares
M	16S	End of Block			16c	ACCTINFO	
End of Subsequence B2 Account Information							
M	16S	End of Block			16c	USECU	

M/O	Tag	Field Name	Qualifier	Option	Format	Codes	Description
End of Sequence B Underlying Securities							
Optional Sequence C Intermediate Securities							
M	16R	Start of Block			16c	INTSEC	
M	35B	Identification of the Financial Instrument			[!SIN1!e12!c]		'ISIN' followed by <Blank> and ISIN Code.
O	36B	Quantity of Intermediate Securities	QINT		:4!c//4!c/15d	FAMT	Quantity of entitled intermediate securities based on the balance of underlying securities expressed as face value.
						UNIT	Quantity of entitled intermediate securities based on the balance of underlying securities expressed as number of shares.
O	92D	Intermediate Securities to Underlying	RTUN		:4!c//15d/15d		Intermediate Securities to Underlying
M	98A	Date	EXPI		:4!c//8!n		Expiry Date
			POST		:4!c//8!n		Posting Date
M	16S	End of Block			16c	INTSEC	
End of Sequence C Intermediate Securities							
Optional Sequence D Corporate Action Details							
M	16R	Start of Block			16c	CADETL	
O	98a	Date	ANOU	A	:4!c//8!n		Announcement Date
			MEET	A	:4!c//8!n		Meeting Date
				C	:4!c//8!n6!n		
			RDTE	A	:4!c//8!n		Record Date
			PAYD	A	:4!c//8!n		Pay Date
XDTE	A	:4!c//8!n		Ex-Dividend or Distribution Date			
O	69A	Period	INPE		:4!c//8!n/8!n		Interest Period
O	99A	Number Count	DAAC		:4!c//[N]3!n		Number of Days Accrued

M/O	Tag	Field Name	Qualifier	Option	Format	Codes	Description
O	92A	Interest Rate	INTR		:4!c//[N]15d		Interest Rate
O	22F	Indicator	RHDI		:4!c/[8c]/4!c	According to SWIFT Standard Codes	Intermediate Securities Distribution Type Indicator
			CHAN			NAME	Change Type Indicator in case of a name change
			ADDB			CAPA	Preliminary Advice of Payment (used only in case of a new message or cancellation which contains entitlements)
O	70E	Narrative	WEBB		:4!c//10*35x		Web Site Address
M	16S	End of Block			16c	CADETL	
End of Sequence D Corporate Action Details							
Optional Repetitive Sequence E Corporate Action Options							
M	16R	Start of Block			16c	CAOPTN	
M	13A	Number Identification	CAON		:4!c//3!c		CA Option Number
M	22F	CA Option Code Indicator	CAOP		:4!c/[8c]/4!c	According to SWIFT Standard Codes	
O	11A	Currency	OPTN		:4!c//3!c		Currency Option
M	17B	Default Processing Flag	DFLT		:4!c//1!a	Y	
						N	
O	98a	Date	RDDT	C	:4!c//8!n6!n		Response Deadline Date
			EXPI	A	:4!c//8!n		Expiry Date
O	69a	Period	PWAL	A C E	:4!c//8!n/8!n :4!c//8!n/4!c :4!c//4!c/8!n		Period of Action
O	92a	Rate	GRSS	A F J K	:4!c//[N]15d :4!c//3!a15d :4!c/[8c]/4!c/3 !a15d[/4!c] :4!c//4!c/		Gross Dividend Rate

M/O	Tag	Field Name	Qualifier	Option	Format	Codes	Description
			INTP	A F K	:4!c//[N]15d :4!c//3!a15d :4!c//4!c/		Interest Rate Used for Payment
			TAXR	A	:4!c//[N]15d		Withholding Tax Rate
M	16S	End of Block			16c	CAOPTN	
Optional Repetitive Subsequence E1 Securities Movement							
M	16R	Start of Block			16c	SECMOVE	
M	22H	Credit/Debit Indicator	CRDB		:4!c//4!c	CRED DEBT	Value is a credit Value is a debit
M	35B	Identification of the Financial Instrument			[!SIN!e!2!c]		'ISIN' followed by <Blank> and ISIN Code.
O	36B	Quantity of Financial Instrument	ENTL		:4!c//4!c/15d		Quantity of securities based on the terms of the corporate action event and balance of underlying securities entitled to the account owner
O	69a	Period	TRDP	A C E	:4!c//8!n/8!n :4!c//8!n/4!c :4!c//4!c/8!n		Trading Period
O	92a	Rate	ADEX	D K	:4!c//15d/15d :4!c//4!c		Additional for Existing Securities
			NEWO	D K	:4!c//15d/15d :4!c//4!c		New to Old
M	98A	Date	PAYD		:4!c//8!n		Payment Date
M	16S	End of Block			16c	SECMOVE	
End of Subsequence E1 Securities Movement							
Optional Repetitive Subsequence E2 Cash Movement							
M	16R	Start of Block			16c	CASHMOVE	
M	22H	Credit/Debit Indicator	CRDB		:4!c//4!c	CRED DEBT	Value is a credit Value is a debit
O	90a	Price	OFFR	A B E	:4!c//4!c/15d: 4!c//4!c :4!c//4!c/3!a15d	ACTU	Cash Price Received per Product
			PRPP		d :4!c//4!c		Cash Price Paid per Product

M/O	Tag	Field Name	Qualifier	Option	Format	Codes	Description
M	98A	Date	PAYD		:4!c//8!n		Payment Date
M	16S	End of Block			16c	CASHMOV E	
End of Subsequence E2 Cash Movement							
O	70E	Narrative	ADTX		:4!c//10*35x		Additional Text
			TXNR				Narrative Version
M	16S	End of Block			16c	CAOPTN	
End of Sequence E Corporate Action Options							
Optional Sequence F Additional Information							
M	16R	Start of Block			16c	ADDINFO	
O	70E	Narrative	ADTX		:4!c//10*35x		Additional Text
			INCO				Information Conditions
O	95Q	Party	PAYA		:4!c//4*35x		Paying Agent
M	16S	End of Block			16c	ADDINFO	
End of Sequence F Additional Information							

2.2 Examples

2.2.1 Liquidation Payment – Notification

```

:16R:GENL
:20C::CORP//U000AT0000960539
:20C::SEME//CUUE2296
:23G:NEWM
:22F::CAEV//LIQU
:22F::CAMV//MAND
:98C::PREP//20101103115722
:25D::PROC//PREC
:16S:GENL
:16R:USECU
:35B:ISIN AT0000960539
DWS (AUT) EUROPA RENT ANT
:16R:ACCTINFO
:97A::SAFE//123400

```

:93B::ELIG//UNIT/323,
:16S:ACCTINFO
:16S:USECU
:16R:CADETL
:98A::XDTE//20101029
:16S:CADETL
:16R:CAOPTN
:13A::CAON//001
:22F::CAOP//CASH
:17B::DFLT//Y
:16R:CASHMOVE
:22H::CRDB//CRED
:98A::PAYD//20101103
:90E::OFFR//UKWN
:16S:CASHMOVE
:16S:CAOPTN
:16R:ADDINFO
:70E::INCO//+++ ADDITIONAL INFORMATION +++ LIQUIDATION CASH PAYMENT AT A PRICE TO
BE DETERMINED BY THE INVESTMENT COMPANY/AGENT ON 29.10.2010. +++
:16S:ADDINFO

2.2.2 Takeover Offer – Notification

:16R:GENL
:20C::CORP//U002AT0000617907
:20C::SEME//CUUE2295
:23G:REPL
:22F::CAEV//TEND
:22F::CAMV//VOLU
:98C::PREP//20101103115647
:25D::PROC//PREC
:16R:LINK
:20C::PREV//CUUE2257
:16S:LINK
:16S:GENL
:16R:USECU
:35B:ISIN AT0000617907
ECO BUSINESS IMMO AKT O.N
:16R:ACCTINFO
:97A::SAFE//123400
:93B::ELIG//UNIT/3637,
:16S:ACCTINFO

:16S:USECU
:16R:CAOPTN
:13A::CAON//001
:22F::CAOP//SECU
:17B::DFLT//N
:98C::RDDT//20101103150000
:69A::PWAL//20100803/20101103
:16R:SECMOVE
:22H::CRDB//DEBT
:35B:ISIN AT0000617907
:98B::PAYD//UKWN
:16S:SECMOVE
:16R:SECMOVE
:22H::CRDB//CRED
:35B:ISIN AT0000A0K0Q8
:92D::NEWO//1,/1,
:98B::PAYD//UKWN
:16S:SECMOVE
:16S:CAOPTN
:16R:CAOPTN
:13A::CAON//002
:22F::CAOP//NOAC
:17B::DFLT//Y
:16S:CAOPTN
:16R:ADDINFO
:70E::INCO//TAKEOVER OFFER AT EUR 7,15 PER ECO BUSINESS-IMMOBILIEN AG SHARE. CONWERT IMMOBILIEN INVEST SE SUCCESSFULLY COMPLETED THE ACCEPTANCE PERIOD FOR THE VOLUNTARY PUBLIC TAKEOVER OFFER FOR ECO BUSINESS-IMMOBILIEN AG ON 30 JULY 2010. CONWERT NOW HOLDS 87.2 PER CENT IN ECO. FOR ALL OWNERS OF ECO SHARES WHO
:70E::INCO//HAVE NOT ACCEPTED THE TAKEOVER OFFER TO DATE, THE ACCEPTANCE PERIOD OF THE PUBLIC TAKEOVER OFFER WILL BE EXTENDED BY THREE MONTHS. THIS EXTENSION WILL THEREFORE END ON 3 NOVEMBER 2010. PLEASE NOTE THAT THE PAYING AGENT WILL ACCEPT INSTRUCTIONS ONLY FOR SETTLED POSITIONS ON EXPIRY DATE. TENDERED SHARES
:70E::INCO//WILL BE EXCHANGED INTO ISIN AT0000A0K0Q8. THE ONLY BINDING DOCUMENT IS THE GERMAN LANGUAGE OFFER DOCUMENT PUBLISHED ON 02/07/2010 WHICH IS AVAILABLE ON THE WEBSITES OF THE BIDDER (WWW.CONWERT.AT), OFECO (WWW.ECO-IMMO.AT) AND OF THE AUSTRIAN TAKEOVER COMMISSION (WWW.TAKEOVER.AT).
:16S:ADDINFO

2.2.3 Takeover Offer Cash Payment – Notification

:16R:GENL
:20C::CORP//U001AT0000A0K0Q8

:20C::SEME//CUUE2294
:23G:NEWM
:22F::CAEV//TEND
:22F::CAMV//MAND
:98C::PREP//20101103115618
:25D::PROC//PREC
:16S:GENL
:16R:USECU
:35B:ISIN AT0000A0K0Q8
ECO BUS AKT O.N/VK STK NF
:16R:ACCTINFO
:97A::SAFE//123400
:93B::ELIG//UNIT/694,
:16S:ACCTINFO
:16S:USECU
:16R:CAOPTN
:13A::CAON//001
:22F::CAOP//CASH
:17B::DFLT//Y
:16R:SECMOVE
:22H::CRDB//DEBT
:35B:ISIN AT0000A0K0Q8
:98B::PAYD//UKWN
:16S:SECMOVE
:16R:CASHMOVE
:22H::CRDB//CRED
:98B::PAYD//20101125
:90B::OFFR//ACTU/EUR7,15
:16S:CASHMOVE
:16S:CAOPTN
:16R:ADDINFO
:70E::INCO//CASH PAYMENT DUE TO ACCEPTANCE OF TAKEOVER OFFER BY CONWERT IMMOBILIEN.
:16S:ADDINFO

2.2.4 Rights Exercise - Notification

:16R:GENL
:20C::CORP//K006AT0000A0FA73
:20C::SEME//CUKE18
:23G:NEWM
:22F::CAEV//EXRI
:22F::CAMV//CHOS

:98C::PREP//20111005111209
:25D::PROC//PREC
:16S:GENL
:16R:USECU
:35B:ISIN AT0000A0FA73
OMV SUBSCR. RIGHTS
:16R:ACCTINFO
:97A::SAFE//123400
:93B::SETT//UNIT/3069,
:16S:ACCTINFO
:16S:USECU
:16R:CADETL
:98A::XDTE//20110519
:16S:CADETL
:16R:CAOPTN
:13A::CAON//001
:22F::CAOP//EXER
:17B::DFLT//N
:98C::RDDT//20110603150000
:69A::PWAL//20110519/20110606
:16R:SECMOVE
:22H::CRDB//CRED
:35B:ISIN AT0000743059
:92D::NEWO//1,/11,
:98A::PAYD//20110610
:16S:SECMOVE
:16R:CASHMOVE
:22H::CRDB//DEBT
:98A::PAYD//20110610
:90B::PRPP//ACTU/EUR27,5
:16S:CASHMOVE
:16S:CAOPTN
:16R:CAOPTN
:13A::CAON//002
:22F::CAOP//NOAC
:17B::DFLT//Y
:16S:CAOPTN
:16R:ADDINFO
:70E::ADTX//SUBSCRIPTION OFFER FOR EXISTING SHAREHOLDERS (ISIN AT0000743059).
RIGHTS ARE NOT TRADEABLE. THE PROSPECTUS WAS PUBLISHED AT THE HOMEPAGE OF THE ISSUER UNDER
WWW.OMV.COM.
:16S:ADDINFO

2.2.5 Stock Split (Forward) – Notification

:16R:GENL
:20C::CORP//K001US7685731074
:20C::SEME//CUKE322
:23G:NEWM
:22F::CAEV//SPLF
:22F::CAMV//MAND
:98C::PREP//20101108141138
:25D::PROC//PREC
:16S:GENL
:16R:USECU
:35B:ISIN US7685731074
RIVERBED TECHNOL.DL-,0001
:16R:ACCTINFO
:97A::SAFE//123400
:93B::SETT//UNIT/200,
:16S:ACCTINFO
:16S:USECU
:16R:CADETL
:98A::XDTE//20101109
:16S:CADETL
:16R:CAOPTN
:13A::CAON//001
:22F::CAOP//SECU
:17B::DFLT//Y
:16R:SECMOVE
:22H::CRDB//DEBT
:35B:ISIN US7685731074
:98A::PAYD//20101109
:16S:SECMOVE
:16R:SECMOVE
:22H::CRDB//CRED
:35B:ISIN US7685731074
:92D::NEWO//2,/1,
:98A::PAYD//20101109
:16S:SECMOVE
:16S:CAOPTN
:16R:ADDINFO
:70E::INCO//FORWARD SPLIT 2 FOR 1.
:16S:ADDINFO

2.2.6 Meeting – Notification

:16R:GENL
:20C::CORP//H083AT0000849757
:20C::SEME//CUHV000000156
:23G:NEWM
:22F::CAEV//OMET
:22F::CAMV//VOLU
:98C::PREP//20101103115450
:25D::PROC//PREC
:16S:GENL
:16R:USECU
:35B:ISIN AT0000849757
HIRSCH SERVO AKT O.N.
:16R:ACCTINFO
:97A::SAFE//123400
:93B::ELIG//UNIT/43591,
:16S:ACCTINFO
:16S:USECU
:16R:CADETL
:98C::MEET//20101125110000
:98A::RDTE//20101115
:98A::ANOU//20101027
:70E::WEBB//www.hirsch-gruppe.com
:16S:CADETL
:16R:CAOPTN
:13A::CAON//001
:22F::CAOP//PROX
:17B::DFLT//N
:98C::RDDT//20101119150000
:16S:CAOPTN
:16R:CAOPTN
:13A::CAON//002
:22F::CAOP//NOAC
:17B::DFLT//Y
:70E::ADTX//TYMT/1
MTPL/1031 WIEN, SCHWARZENBERGPLATZ 4
MTLO/HAUS DER INDUSTRIE, URBANSAAL
:16S:CAOPTN
:16R:ADDINFO
:70E::ADTX//MTAG/1.VORLAGE DES FESTGESTELLTEN JAHRESABSCHLUSSES MIT DEM
LAGEBERICHT UND DEM CORPORATE GOVERNANCE-BERICHT, DES KONZERNABSCHLUSSES MIT
DEM KONZERNLAGEBERICHTS, JEWEILS ZUM 30.JUNI 2010, SOWIE DES BERICHTES DES AUFSICHTSRATES FUER
DAS GESCHAEFTSJAHR 2009/2010.

:70E::ADTX//2.BERICHT UEBER DAS IM JAHRESABSCHLUSS ZUM 30. JUNI 2010 AUSGEWIESENE
BILANZERGEBNIS. 3.BESCHLUSSFASSUNG UEBER DIE ENTLASTUNG DER MITGLIEDER DES VORSTANDES UND DER
MITGLIEDER DES AUFSICHTSRATES4. BESCHLUSSFASSUNG UEBER DIE FESTSETZUNG
:70E::ADTX//DER VERGUETUNGEN AN DIE MITGLIEDER DES AUFSICHTSRATES. 5.WAHL DES
ABSCHLUSSPRUEFERS UND KONZERNABSCHLUSSPRUEFERS FUER DAS GESCHAEFTSJAHR
2010/2011.
:16S:ADDINFO

3 Corporate Action Confirmation

This message is used to confirm to the account owner that securities and/or cash have been credited/debited to an account as the result of a corporate action event.

3.1 Description of the Corporate Action Confirmation (SWIFT MT 566)

M/O	Tag	Field Name	Qualifier	Option	Format	Codes	Description
Mandatory Sequence A General Information							
M	16R	Start of Block			16c	GENL	
M	20C	Corporate Action Reference	CORP		:4!c//16x		OeKB CSD's unambiguous reference of the corporate action.
M	20C	Sender's Message Reference	SEME		:4!c//16x		OeKB CSD's unambiguous reference of the message.
M	23G	Function of the Message			:4!c[/4!c]	NEWM REVR	New message Reversal of a previously sent message.
M	22F	Corporate Action Event Indicator	CAEV		:4!c/[8c]/4!c	see 1.1 Event List	Definition of the corporate action
M	98C	Preparation Date/Time	PREP		:4!c//8!n6!n		Date/time at which the message was prepared.
Repetitive Optional Subsequence A1 Linkages							
M	16R	Start of Block			16c	LINK	
M	20C	Reference	CORP		:4!c//16x		OeKB CSD's unambiguous reference of the corporate action.
			PREV				Sender's reference of the message previously sent in case of a reversal
M	16S	End of Block			16c	LINK	
End of Subsequence A1 Linkages							
M	16S	End of Block			16c	GENL	
End of Sequence A General Information							
Mandatory Sequence B Underlying Securities							

M/O	Tag	Field Name	Qualifier	Option	Format	Codes	Description
M	16R	Start of Block			16c	USECU	
M	97A	Safekeeping Account	SAFE		:4!c//35x		6-digit OeKB CSD safekeeping account number of the customer.
M	35B	Identification of the Underlying Securities			[ISIN 1!e 12!c] [4*35x]		'ISIN' followed by <Blank> and ISIN Code. Description of the financial instrument.
M	93B	Confirmed Balance	CONB		:4!c//4!c/[N] 15d	FAMT	OeKB CSD holding to which the payment applies expressed as face value.
						UNIT	OeKB CSD holding to which the payment applies expressed as number of shares.
O	93B	Total Eligible for Corporate Action Balance	ELIG		:4!c//4!c/[N] 15d	FAMT	OeKB CSD holding eligible for the corporate action expressed as face value.
						UNIT	OeKB CSD holding eligible for the corporate action expressed as number of shares.
M	16S	End of Block			16c	USECU	
End of Sequence B Underlying Securities							
Optional Sequence C Corporate Action Details							
M	16R	Start of Block			16c	CADETL	
M	98A	Ex- Date	XDTE		:4!c//8!n		Ex-Date of the corporate event
O	69A	Interest Period	INPE		:4!c//8!n/8!n		Period during which the interest rate has been applied.
O	92A	Interest Rate	INTR		:4!c//[N] 15d		
O	22F	Indicator	ADDB		:4!c/[8c]/4!c	CLAI	Additional business process indicator for compensation payments.
			CHAN			see 3.2 Type of Change Indicator	Type of change
			RHDI			see 3.3 Intermediate Securities Distribution Type Indicator	Intermediates security distribution type

M/O	Tag	Field Name	Qualifier	Option	Format	Codes	Description
O	70E	Name Narrative	NAME		:4!c//10*35x		Details of a name change
M	16S	End of Block			16c	CADETL	
End of Sequence C Corporate Action Details							
Mandatory Sequence D Corporate Action Confirmation							
M	16R	Start of Block			16c	CACONF	
M	13A	CA Option Number	CAON		:4!c//3!c		Corporate action option number
M	22F	Corporate Action Option Code Indicator	CAOP		:4!c/[8c]/4!c	CASH	The proceeds of the corporate event are in cash.
						SECU	The proceeds of the corporate event are in securities.
O	92F	Gross Dividend Rate	GRSS		:4!c//3!a15d		Cash amount paid per share
O	92A	Interest Rate Used for Payment	INTP		:4!c//[N]15d		Interest rate applicable to the corporate event.
O	92A	Withholding Tax Rate	TAXR		:4!c//[N]15d		Percentage of tax deducted from the cash amount.
O	90A	Generic Cash Price Received per Product	OFFR		:4!c//4!c/15 d		
Optional Repetitive Subsequence D1 Securities Movement							
M	16R	Start of Block			16c	SECMOVE	
M	22H	Credit/Debit Indicator	CRDB		:4!c//4!c	CRED	Securities are credited to the safekeeping account of the customer.
						DEBT	Securities are debited from the safekeeping account of the customer.
M	35B	Identification of the Financial Instrument			[ISIN1!e12!c] [4*35x]		'ISIN' followed by <Blank> and ISIN Code of the securities movement. Description of the financial instrument.
M	36B	Posting Quantity	PSTA		:4!c//3!a15d	FAMT	Quantity of securities credited or debited expressed as face value.

M/O	Tag	Field Name	Qualifier	Option	Format	Codes	Description
						UNIT	Quantity of securities credited or debited expressed as number of shares.
O	92D	New to Old	NEWO		:4!c//15d/15d		Ratio between the underlying and the 'new' securities.
M	98A	Posting Date	POST		:4!c//8!n		Date on which the securities are effectively credited or debited.
M	16S	End of Block			16c	SECMOVE	
End of Subsequence D1 Securities Movement							
Optional Repetitive Subsequence D2 Cash Movement							
M	16R	Start of Block			16c	CASHMOVE	
M	22H	Credit/Debit Indicator	CRDB		:4!c//4!c	CRED	Cash amount is credited to the account of the customer.
						DEBT	Cash amount is debited from the account of the customer.
M	19B	Gross Amount	GRSS		:4!c//3!a15d		ISO Currency code followed by the cash amount before any deductions.
M	19B	Net Cash Amount	NETT		:4!c//3!a15d		ISO Currency code followed by cash amount credited or debited after consideration of deductions.
M	19B	Posting Amount	PSTA		:4!c//3!a15d		ISO Currency code followed by cash amount effectively credited or debited.
O	19B	Resulting Amount	RESU		:4!c//3!a15d		ISO Currency code followed by cash amount resulting from a foreign exchange conversion.
O	19B	Withholding of foreign tax	WITF		:4!c//3!a15d		Amount of foreign tax deducted.
O	19B	Withholding of local tax	WITL		:4!c//3!a15d		Amount of local tax deducted.
M	98A	Posting Date	POST		:4!c//8!n		Date on which the cash payment is effectively made.

M/O	Tag	Field Name	Qualifier	Option	Format	Codes	Description
M	98A	Value Date	VALU		:4!c//8!n		Value date of the cash payment.
O	98A	Payment Date	PAYD		:4!c//8!n		Date on which the cash amount is due to be paid.
O	92B	Exchange Rate	EXCH		:4!c//3!a/3!a/15d		Exchange rate of the currency conversion.
M	16S	End of Block			16c	CASHMOVE	

End of Subsequence D2 Cash Movement

End of Sequence D Corporate Action Confirmation

Optional Sequence E Additional Information

M	16R	Start of Block			16c	ADDINFO	
M	70E	Narrative	ADTX		:4!c//10*35x		Additional information relating to the corporate action.
			TXNR				
M	16S	End of Block			16c	ADDINFO	

End of Sequence E Additional Information

3.2 Type of Change Indicator

Code	Short description	Long description
BERE	Bearer to Registered	Change from bearer into registered form
CERT	Certificates	Change of all instruments into certificates
DEPH	Dematerialized to Physical	Change from dematerialized into physical form
GPPH	Global Permanent to Physical	Change from global permanent to physical form
GTGP	Global Temporary to Global Permanent	Change from global temporary to global permanent
GTPH	Global Temporary to Physical	Change from global temporary to physical form
NAME	Name	The issuing company changes its name
PHDE	Physical to Dematerialized	Change from physical to dematerialized
REBE	Registered to Bearer	Change from registered into bearer form
TERM	Terms	Change in the terms of the security

3.3 Intermediate Securities Distribution Type Indicator

Code	Short description	Long description
BIDS	Reverse Rights	Distribution of reverser rights
BONU	Bonus Rights	Distribution of bonus rights
DRIP	Dividend Reinvestment Securities	Distribution of dividend reinvestment securities
DVOP	Dividend Option	Distribution of dividend option
EXRI	Subscription Rights	Distribution of Subscription rights
PRIO	Open Offer Rights	Distribution of open offer rights

3.4 MT566 Examples

3.4.1 SWIFT MT 566 Rights Distribution

:16R:GENL
:20C::CORP//L10005335
:20C::SEME//CU510210612/2
:23G:NEWM
:22F::CAEV//RHDI
:98C::PREP//20101105143725
:16R:LINK
:20C::RELA//NONREF
:16S:LINK
:16R:LINK
:20C::CORP//L10005335
:16S:LINK
:16S:GENL
:16R:USECU
:97A::SAFE//999100
:35B:ISIN AU000000GMR9
Golden Rim Resources Ltd. Registered Shares o.N.
:93B::CONB//UNIT/857,
:16S:USECU
:16R:CADETL
:22F::RHDI//EXRI
:16S:CADETL
:16R:CACONF
:13A::CAON//001
:22F::CAOP//SECU
:16R:SECMOVE
:22H::CRDB//CRED
:35B:ISIN AU000000GMRR8
Golden Rim Resources Ltd. Anrechte
:36B::PSTA//UNIT/107,125
:92D::NEWO//1,/8,
:98A::POST//20101015
:16S:SECMOVE
:16S:CACONF
:16R:ADDINFO
:70E::ADTX//J
:16S:ADDINFO

3.4.2 SWIFT MT 566 Rights Removal

:16R:GENL
 :20C::CORP//L10005334
 :20C::SEME//CU510021508/2
 :23G:NEWM
 :22F::CAEV//EXRI
 :98C::PREP//20101105144229
 :16R:LINK
 :20C::RELA//NONREF
 :16S:LINK
 :16R:LINK
 :20C::CORP//L10005334
 :16S:LINK
 :16S:GENL
 :16R:USECU
 :97A::SAFE//999100
 :35B:ISIN DE000A1EWW55
 HYDROTEC Ges.f.Wassertech.AG Inhaber-Bezugsrechte
 :93B::CONB//UNIT/1440,
 :16S:USECU
 :16R:CACONF
 :13A::CAON//001
 :22F::CAOP//SECU
 :16R:SECMOVE
 :22H::CRDB//DEBT
 :35B:ISIN DE000A1EWW55
 HYDROTEC Ges.f.Wassertech.AG Inhaber-Bezugsrechte
 :36B::PSTA//UNIT/1440,
 :98A::POST//20101015
 :16S:SECMOVE
 :16S:CACONF

3.4.3 SWIFT MT 566 Stock Split

:16R:GENL
 :20C::CORP//L10005336
 :20C::SEME//CU510021510
 :23G:NEWM
 :22F::CAEV//SPLR
 :98C::PREP//20101013144456
 :16R:LINK
 :20C::RELA//NONREF

:16S:LINK
:16R:LINK
:20C::CORP//L10005336
:16S:LINK
:16S:GENL
:16R:USECU
:97A::SAFE//999100
:35B:ISIN US74378L1026
Providence Resources Inc. Registered Shares DL -,0
:93B::CONB//UNIT/5000,
:16S:USECU
:16R:CACONF
:13A::CAON//001
:22F::CAOP//SECU
:16R:SECMOVE
:22H::CRDB//CRED
:35B:ISIN US74378L2016
Providence Resources Inc. Registered Shares New DL
:36B::PSTA//UNIT/833,335
:92D::NEWO//0,166667/1,
:98A::POST//20101013
:16S:SECMOVE
:16R:SECMOVE
:22H::CRDB//DEBT
:35B:ISIN US74378L1026
Providence Resources Inc. Registered Shares DL -,0
:36B::PSTA//UNIT/5000,
:98A::POST//20101013
:16S:SECMOVE
:16S:CACONF

3.4.4 SWIFT MT 566 Change Bearer into Registered Shares

:16R:GENL
:20C::CORP//L10005342
:20C::SEME//CU510021516/2
:23G:NEWM
:22F::CAEV//CHAN
:98C::PREP//20101014165051
:16R:LINK
:20C::RELA//NONREF
:16S:LINK
:16R:LINK

:20C::CORP//L10005342
 :16S:LINK
 :16S:GENL
 :16R:USECU
 :97A::SAFE//999100
 :35B:ISIN DE0005003404
 adidas AG Inhaber-Aktien o.N.
 :93B::CONB//UNIT/58525,
 :16S:USECU
 :16R:CADETL
 :22F::CHAN//BERE
 :16S:CADETL
 :16R:CACONF
 :13A::CAON//001
 :22F::CAOP//SECU
 :16R:SECMOVE
 :22H::CRDB//CRED
 :35B:ISIN DE000A1EWWW0
 adidas AG Namens-Aktien o.N.
 :36B::PSTA//UNIT/58525,
 :92D::NEWO//1,/1,
 :98A::POST//20101014
 :16S:SECMOVE
 :16R:SECMOVE
 :22H::CRDB//DEBT
 :35B:ISIN DE0005003404
 adidas AG Inhaber-Aktien o.N.
 :36B::PSTA//UNIT/58525,
 :98A::POST//20101014
 :16S:SECMOVE
 :16S:CACONF
 :16R:ADDINFO
 :70E::TXNR//change bearer into registered shares
 :16S::ADDINFO

3.4.5 SWIFT MT 566 Merger

:16R:GENL
 :20C::CORP//L10005207
 :20C::SEME//CU510021278
 :23G:NEWM
 :22F::CAEV//MRGR
 :98C::PREP//20101109142728

:16R:LINK
:20C::RELA//NONREF
:16S:LINK
:16R:LINK
:20C::CORP//L10005217
:16S:LINK
:16S:GENL
:16R:USECU
:97A::SAFE//897600
:35B:ISIN CA98370R1064
X-CAL RESOURCES LTD. Registered Shares o.N.
:93B::CONB//UNIT/15000,
:16S:USECU
:16R:CACONF
:13A::CAON//001
:22F::CAOP//SECU
:16R:SECMOVE
:22H::CRDB//CRED
:35B:ISIN US69924P1021
Paramount Gold U. Silver Corp. Registered Shares DL
:36B::PSTA//UNIT/1875,
:92D::NEWO//1,/8,
:98A::POST//20101109
:16S:SECMOVE
:16R:SECMOVE
:22H::CRDB//DEBT
:35B:ISIN CA98370R1064
X-CAL RESOURCES LTD. Registered Shares o.N.
:36B::PSTA//UNIT/15000,
:98A::POST//20101109
:16S:SECMOVE
:16S:CACONF
:16R:ADDINFO
:70E::TXNR//Umtausch wegen Fusion - Ex-Tag 3.11.2010
:16S:ADDINFO

3.4.6 SWIFT MT 566 Liquidation

:16R:GENL
:20C::CORP//K14019844
:20C::SEME//CU514498956
:23G:NEWM
:22F::CAEV//LIQU

:98C::PREP//20141219151912
:16R:LINK
:20C::RELA//NONREF
:16S:LINK
:16R:LINK
:20C::CORP//K14019844
:16S:LINK
:16S:GENL
:16R:USECU
:97A::SAFE//243900
:35B:ISIN AT0000AOP844
AKTIENCASHTRADER (T) MITEIGENTUMSAN
TEILE
:93B::ELIG//UNIT/948,
:93B::CONB//UNIT/948,
:16S:USECU
:16R:CADETL
:98A::XDTE//20141219
:16S:CADETL
:16R:CACONF
:13A::CAON//001
:22F::CAOP//CASH
:92F::GRSS//EUR10,47
:16R:SECMOVE
:22H::CRDB//DEBT
:35B:ISIN AT0000AOP844
AKTIENCASHTRADER (T) MITEIGENTUMSANTEILE
:36B::PSTA//UNIT/948,
:98A::POST//20141219
:98A::PAYD//20141219
:16S:SECMOVE
:16R:CASHMOVE
:22H::CRDB//CRED
:19B::GRSS//EUR9925,56
:19B::NETT//EUR9925,56
:19B::PSTA//EUR9925,56
:98A::POST//20141219
:98A::VALU//20141219
:98A::PAYD//20141219
:16S:CASHMOVE
:16S:CACONF
